

UNIFORM CERTIFICATE OF AUTHORITY APPLICATION

STATE FILING FEES – CORPORATE AMENDMENTS

The following table shows the fees charged by each state for filing a Corporate Amendments application. Please note that, due to retaliatory statutes, the ultimate amount of fees in any state may be more than the amount indicated below.

✓ = This information has been updated by the State Department of Insurance

State	Filing Fees
AL	<p>\$25 check made payable to the Commissioner of Insurance</p> <p>\$500 fee for Adding a Line of Business \$25 fee for filing amended By-Laws \$25 fee for filing amended Articles of Incorporation</p> <p>Checks made payable to the Commissioner of Insurance</p>
AK	<p>Issuance of Certificate of Authority due to amendment – \$100 Filing Articles of Incorporation due to amendment – \$100. Filing of Bylaws due to amendment – \$100.</p> <p>Division of Insurance State of Alaska P. O. Box 110805 Juneau, AK 99811-0805</p>
AZ	<p>Filing Fees Amended Certificate of Authority fee – The greater of \$195.00 (\$225.00 if filing amended Bylaws) or retaliatory amount. Make check payable to the Arizona Department of Insurance.</p> <p>Restated Articles and most Amended Articles - \$175.00. Make check payable to the Arizona Corporation Commission.</p> <p>Amended Articles to add or delete lines - \$25.00. Make Check payable to Arizona Corporation Commission.</p>

State	Filing Fees		
√AR	Filing amended Articles of Incorporation only \$100 Filing amended By-Laws only \$50 Certificate of Authority Amendment - Name Change \$500 – This fee includes the filing fee for amended Articles of Incorporation and By-Laws, that are associated with this type of amendment. Addition/Deletion of Line of Business – \$500 Filing of Form E (pre-acquisition) or exemption of same re: merger/acquisition - \$100		
CA	Filing fees are \$117 for each corporate amendment change. Insurance Code §705. Filing Articles of Incorporation due to amendment – \$61.00		
CO	None		
√CT	\$200 subject to retaliation. Do not prepay. Department will invoice the Company for the fee.		
DE	\$100 add lines of business \$100 issuance fee for new Certificate of Authority, if applicable \$10 filing amended Articles of Incorporation \$10 filing amended by-laws \$50 filing name change endorsement Fees are subject to retaliatory tax.		
√DC	\$500 payable to District of Columbia Treasurer <table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> Mail Fees To: c/o DC Treasurer PO Box 92180 Washington, DC 20092 </td> <td style="width: 50%; vertical-align: top;"> Mail Correspondence Only To: Department of Insurance and Securities Regulation 810 First Street, Suite 700 Washington, DC 20002 </td> </tr> </table>	Mail Fees To: c/o DC Treasurer PO Box 92180 Washington, DC 20092	Mail Correspondence Only To: Department of Insurance and Securities Regulation 810 First Street, Suite 700 Washington, DC 20002
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FL	<table border="0" style="width: 100%;"> <tr> <td style="width: 35%;"> \$5.00 Filing Fee Make checks payable to: Department of Financial Services P. O. Box 6100 Tallahassee, Florida 32314-6100 </td> <td style="width: 65%;"> Applicants are required to include the following codes on each check: \$5 Filing Fee Codes: TY/CL-11/41 F/T-W </td> </tr> </table>	\$5.00 Filing Fee Make checks payable to: Department of Financial Services P. O. Box 6100 Tallahassee, Florida 32314-6100	Applicants are required to include the following codes on each check: \$5 Filing Fee Codes: TY/CL-11/41 F/T-W
\$5.00 Filing Fee Make checks payable to: Department of Financial Services P. O. Box 6100 Tallahassee, Florida 32314-6100	Applicants are required to include the following codes on each check: \$5 Filing Fee Codes: TY/CL-11/41 F/T-W		
GA	Please see requirements at: http://www.gainsurance.org/INDUSTRY/feetaxdepositrequirements.asp		
HI	None		
ID	None		
IL			
IN	All fees are retaliatory. \$10 for Certificate of Authority \$10 for Articles of Incorporation if changes in conjunction with application.		

State	Filing Fees
IA	\$50 for filing amended articles or retaliatory fee, whichever is greater. \$25 for Certificate of Authority or retaliatory fee, whichever is greater.
KS	All fees are retaliatory. Fees should be reported on Retaliatory Summary Sheet with the annual premium tax filing and any amount due will be calculated and paid at that time.
KY	Amending Certificate of Authority \$50 Amending Articles of Incorporation (if applicable) \$50 Amending Bylaws (if applicable) \$50 Check payable to Kentucky State Treasurer
LA	\$0 to add lines of insurance. Filing of policy forms (forms must be submitted with application to add a line and a name change endorsement must be included with an application for change of name) \$100 - per product \$20 - to adopt a reference or item filing of advisory organization form reference filing. \$25 - for filing of property and casualty insurance policy endorsements. \$25 – Recordation of Amendments to Articles of Incorporation
ME	\$25 for name reservation (see State Specific requirements) \$25 for amended bylaws, if applicable \$25 for amended Articles of Incorporation, if applicable \$25 for change in Service of Process Appointment, if applicable \$10 for new Certificate of Authority (not necessary for redomestications since Maine does not reissue a COA) \$35 filing fee with Secretary of State for applicable redomestications (see State Specific requirements for applicability and further details) All checks should be made payable to: Treasurer, State of Maine
MD	There is no fee for a name change. If the By-Laws and Articles of Incorporation changed, there is a \$10 fee for each document. Per Section 6-303, fees are subject to retaliatory tax. Conrad A. Ragone Company Licensing Analyst (410) 468-2156 cragone@mdinsurance.state.md.us
MA	Fees charged for Various License Amendments in Massachusetts <u>Fees Charged for Various License Amendments in Massachusetts</u> 1.) The fees required to add line (s) of business would be \$1,065.00. This fee includes the following two components:

State	Filing Fees								
MA (cont)	<p> \$ 1,000.00 examination fee \$ 65.00 amended articles filing fee </p> <p> If the license amendment were approved, an amended company license fee of \$250.00 or \$279.00 would be required. The amended license fee varies based on the lines of authority on the license. Those companies that do not have license designation 51 or 54 (extension of authority) are charged \$250.00. Those companies that are licensed for designation 51 or 54 (extension of authority) are charged \$279.00. </p> <p> 2) The fee required for deleting line (s) of business would be either \$250.00 or \$279.00 depending on whether or not the company is licensed for designation 51 or 54 (extension of authority). This is the fee for issuing an amended company license. </p> <p> 3) The fee required for a foreign company name change fee would be either \$315.00 or \$344.00 depending on whether or not the company is licensed for designation 51 or 54 (extension of authority). This fee includes the following two components: </p> <p> \$ 250.00 or \$279.00 amended company license fee \$ 65.00 amended articles filing fee </p> <p> 4.) The fee required to file a redomestication for foreign insurers would be \$ 65.00. This fee represents the \$ 65.00 amended articles filing fee. </p> <p> A separate amended articles fee would be charged by the Secretary of State for <u>domestic companies</u> when a name change occurs. </p> <p> At this time there is no filing fee for address changes. </p>								
MI	None								
MN	No fees for corporate amendments themselves, however, filing articles/amendments are \$100 and filing bylaws/amendments is \$75.								
√MS	<p> License Amendment - \$25 Endorsements – LH&A \$10, P&C \$15 </p> <p style="text-align: center;">\$50 for each:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Amended/Restated Articles of Incorporation</td> <td style="width: 50%; border: none;">Certificate of Compliance/Authority</td> </tr> <tr> <td style="border: none;">Amended/Restated By-Laws</td> <td style="border: none;">Certificate of Deposit</td> </tr> <tr> <td style="border: none;">Articles of Redomestication</td> <td style="border: none;">Change of Control Approval Order</td> </tr> <tr> <td style="border: none;">Assumption Reinsurance Agreement</td> <td style="border: none;">Merger Agreement</td> </tr> </table>	Amended/Restated Articles of Incorporation	Certificate of Compliance/Authority	Amended/Restated By-Laws	Certificate of Deposit	Articles of Redomestication	Change of Control Approval Order	Assumption Reinsurance Agreement	Merger Agreement
Amended/Restated Articles of Incorporation	Certificate of Compliance/Authority								
Amended/Restated By-Laws	Certificate of Deposit								
Articles of Redomestication	Change of Control Approval Order								
Assumption Reinsurance Agreement	Merger Agreement								

State	Filing Fees
	<p>Financial Information Service of Legal Process Appointment</p>
MO	\$60 for a Certificate of Authority
MT	None
NE	§44-114 Amended Certificate of Authority – \$100 §44-114 Amended Articles of Incorporation – \$20 §44-114 Merger Filing Fee – \$50 §44-150 Subject to retaliation
NV	If currently holds only one line, \$295 for additional lines. If currently holds more than one line, \$10 fee
NH	\$25.00 or Retaliatory Fee
NJ	Non-Life/Health: \$500 Life/Health: None
NM	Amended Certificate of Authority – \$200 Amended Articles of Incorporation – \$10 Amended By-Law – \$10 Articles of Merger – \$10
NY	\$10 for filing amendments to a charter of domestic insurance corporations.
NC	None
ND	Amended Certificate of Authority – \$50 Amended Articles of Incorporation – \$25 Amended Bylaws – \$10 Service of Process form – \$10 Filing Merger Agreement – \$30
OH	There is no fee due with the filing. After an amended Certificate of Authority is sent to the Company, the Company is billed \$5 for an amended Authority.
OK	Filing amended Charter documents, Articles of Incorporation or Bylaws of domestics, aliens or foreign insurers larger of \$50 each or retaliatory amount.
OR	None
√PA	Dependent upon the type of Certificate of Authority amendment. Please see requirements at Filing Fees on : http://www.insurance.pa.gov/portal/server.pt/community/doing_business_in_the_commonwealth/9264

State	Filing Fees
RI	Filing amended By-Laws \$4.00 Filing amended Articles of Incorporation \$4.00 Issuing and amended Certificate of Authority \$4.00 Filing merger agreement \$4.00 Note: Pursuant to R.I. Gen. Laws §27-2-17(a), foreign company fees are retaliatory if the company's state of domicile would charge a R.I. insurance company a higher fee for a like transaction Checks should be made payable to R.I. General Treasurer, and should be mailed to the R.I. Insurance Division
SC	Strictly retaliatory except for adding a line of authority, which is \$400.00 for each line of business to be added.
SD	\$40.00 – Name Change \$25.00 – Change of domicile \$25.00 – Add/delete lines of authority \$ -- – No fee for merger, BUT ALL FEES ARE SUBJECT TO RETALIATORY LAW
TN	\$90.00 amendment to Certificate of Authority Fee
TX	(1) If just amending the Certificate of Authority – \$50 (2) If only an amendment to the Articles of Incorporation (hearing or no hearing involved) – \$125.00 (3) If only a restatement of the Articles of Incorporation (hearing or no hearing involved) - \$250.00 (4) If amending the Certificate of Authority includes an amendment to the Articles of Incorporation (hearing or no hearing involved) – \$125.00 for both the amended Certificate of Authority and amendment to the articles of incorporation. (5) If the amendment to the Certificate of Authority includes restating of the Articles of Incorporation (hearing or no hearing involved) – \$250.00 for both the amend Certificate of Authority and restatement of the Articles of Incorporation (6) Merger Filings – \$750
√UT	\$250 to amend Certificate of Authority Fees not subject to retaliation.
VT	None
VA	The Bureau of Insurance does not impose a filing fee. Items filed with the Clerk's Office of the Virginia State Corporation Commission may incur a filing fee.
WA	\$25 for amending Certificate of Authority, return of the original Certificate of Authority for amendment and compliance with Capital and Surplus Requirements. Service of Process fee \$5.

State	Filing Fees
WV	WVC §33-3-13 – Amended Certificate of Authority – \$200 WVC §33-3-13 – Amended Articles of Incorporation – \$50 WVC §33-3-13 – Amended By-Laws – \$50 WVC §33-3-13 – Filing of any additional paper required by law – \$1 WVC §33-3-16 – All fees subject to retaliation
WI	§601.31, WI Statute
WY	Service for Process fee \$10 \$15 for the amendment to the Certificate of Authority. Fees subject to retaliation.